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# Identification the Model of Electric Power Generation by Small Hydroelectric Power Station Based on Artificial Bee Colony Algorithm

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**Abstract**—Solution the task of parametric identification the mathematical model of electric power generation by a small hydroelectric power station based on artificial bee colony algorithm are proposed in this article.

**Keywords**—mathematical model, bee colony algorithm (ABC), parametric identification, interval data, interval data analysis, small hydroelectric power station (SHEPS)

## I. INTRODUCTION

The development of small hydropower is one of the areas of potential energy savings. The tasks of restoration of existing and creation of new small hydroelectric power stations (SHEPS) are urgent given the potential of hydro resources in Ukraine. At the same time, it is advisable to develop mathematical models for the hydroelectric power generation in order to investigate and ensure maximum efficiency of the use of hydro resources. The dependence of the amount of electric power generated on external factors is reflected by the this models [1-2].

Such models are based on inaccurate data, which should be in interval form are presented. For their build the methods of interval data analysis are used [3-7]. Examples of the application of such methods to solve the applied problems of medicine, economics, ecology, energy are described in articles [8-11].

In articles [12-14] are showed that methods and algorithms based on the principles of swarm intelligence to solve the tasks of structural and parametric identification are used. There are the algorithm of ant colony optimization, cuckoo search algorithm, artificial bee colony algorithm, particle swarm optimization and other. That algorithms to solve complex optimization tasks, such as multidimensional optimization, discrete optimization, multicriterion optimization are used.

In view of the above, it is advisable to solve the task of parametric identification of a mathematical model of electric power generation by a SHEPS, using, for example, an algorithm for the behavior of a bee colony. Therefore, the purpose of this article work is to build a mathematical model of electric power generation at a small hydroelectric power station based on the identification parametric method. That based on artificial bee colony algorithms.

## II. TASK STATEMENT

The dependence between the quantity of generated electric power and the external factors of influence of small hydroelectric power station in this form is presented [1]:

$$y_0 = \beta_1 \cdot \varphi_1(\vec{x}) + \dots + \beta_m \cdot \varphi_m(\vec{x}),$$

where  $y_0$  – true unknown value of generated electric power;  $\vec{x}$  – vector of input values of external factors (for example reactive power, water level on hydropower, fall on SHEPS, and other);  $\vec{\varphi}^T(\vec{x}) = (\varphi_1(\vec{x}), \dots, \varphi_m(\vec{x}))^T$  – vector of known basic functions,  $\vec{\beta} = (\beta_1, \dots, \beta_m)^T$  – vector of unknown parameters.

In the form of a matrix of values of the input variables and the vector of intervals of values for the output variables  $[\vec{Y}]$  represent the results of observations "inputs", "outputs":

$$X = \begin{pmatrix} x_{11} & \dots & x_{1n} \\ \vdots & & \vdots \\ x_{i1} & \dots & x_{in} \\ \vdots & & \vdots \\ x_{N1} & \dots & x_{Nn} \end{pmatrix}; [\vec{Y}] = \begin{pmatrix} [y_1^-; y_1^+] \\ \vdots \\ [y_i^-; y_i^+] \\ \vdots \\ [y_N^-; y_N^+] \end{pmatrix}.$$

For each of the  $N$  observations, the true unknown value of the output  $y_0$  is in the intervals:

$$y_i^- \leq y_{0i} \leq y_i^+, i = 1, \dots, N.$$

On the basis of expressions (1-3), we obtain ISLAE to estimate the vector of unknown parameters  $\vec{\beta}$ :



$$\begin{cases} y_1^- \leq b_1 \varphi_1(\bar{x}_1) + \dots + b_m \varphi_m(\bar{x}_1) \leq y_1^+ \\ \vdots \\ y_i^- \leq b_1 \varphi_1(\bar{x}_i) + \dots + b_m \varphi_m(\bar{x}_i) \leq y_i^+ \\ \vdots \\ y_N^- \leq b_1 \varphi_1(\bar{x}_N) + \dots + b_m \varphi_m(\bar{x}_N) \leq y_N^+ \end{cases} \quad (4)$$

Therefore, the the task of parameters identification of the interval model (1) is the task of solving the ISLAE by expression (4).

We will look for the approximate solution of ISLAE (4) in the form:

$$[\bar{\beta}] = \begin{pmatrix} [b_1] \\ \vdots \\ [b_i] \\ \vdots \\ [b_N] \end{pmatrix}, \quad (5)$$

where  $[b_i] = [b_i^-; b_i^+]$ ,  $i = 1, \dots, N$ .

To find at least one solution of ILSAE, we formulate a "quality" indicator of the current solution, which reflects the degree of closeness of that solution to a solution that transforms ISLAE (4) into a compatible system. It is obvious that the "quality" of the approximation will be the higher the closer the interval of prediction, constructed on the basis of this the parameter vector, to the experimental interval.

In case no intersection of intervals the quality of approximation will be determined by the difference between the farthest centers of the forecast and experimental interval. In case intersection of intervals the quality of such an approximation will be determined by the smallest intersection width among the predicted and experimental intervals [12-14]:

$$\Delta([\bar{b}_i]) = \max_{i=0, \dots, N} \{ \text{mid}([\bar{y}_i]) - \text{mid}([y_i]) \}, \text{ if} \quad (6)$$

$$[\bar{y}_i] \cap [y_i] = \emptyset, \exists i = 0, \dots, N.$$

$$\Delta([\bar{b}_i]) = \max_{i=0, \dots, N} \{ \text{wid}([\bar{y}_i]) - \text{wid}([\bar{y}_i] \cap [y_i]) \}, \text{ if} \quad (7)$$

$$[\bar{y}_i] \cap [y_i] \neq \emptyset, \forall i = 0, \dots, N,$$

where  $y_i$  and  $\bar{y}_i$  - experimental and predicted intervals respectively,  $\text{mid}(\bullet)$  та  $\text{wid}(\bullet)$ , - operation of definition the centre and the width of interval, respectively.

Thus, the task of parametric identification the interval model can be formulated:

$$\Delta([\bar{b}_i]) \xrightarrow{[b_i]} \min, [\bar{b}_i] \subset [b_i^{low}; b_i^{up}], i = 1, \dots, N \quad (8)$$

where  $b_i^{low}, b_i^{up}$  - minimum and maximum values for each parameter of interval model.

For find the solutions of ISLAE (4) the methods localization of interval mainly are used [1,3,5-6].

However, the accuracy of these estimates is not high accordingly, the width of the prediction interval of general electric power, depending on external factors, will be large which will mean low model accuracy.

Under these conditions, to solve this task is advisable method of parametric identification based on artificial colony algorithm are used.

### III. THE METHOD OF PARAMETRIC IDENTIFICATION OF MATHEMATICAL MODEL BASED ON ARTIFICIAL BEE COLONY ALGORITHM

Artificial Bee Colony algorithm - it is a heuristic algorithm based on the principles of swarm intelligence. The basic principles of the Artificial Bee Colony algorithm were first formulated by Caraboga D. in 2005 [15]. The main idea of ABK is modeling the behavior of honey bee colonies in the process of finding food.

In the natural environment, scout bees initially search for valuable sources of food in a random direction. The value of the food source is determined by the quantity of food found, the distance from the hive to it. Returning to the hive, scout bees report on the food sources found and their quality. Based on the information received, bees from the hive select the source of nectar to which they will fly. The better the food source, the more bees from the hive will fly to it. If the food is depleted in a particular area, the bees leave it and instead fly to new valuable food sources found by scout bees [15-18].

In the general case, the scheme of realization of swarm intelligence algorithms can be formulated [15-22]:

1. Initialization an algorithm agent population (randomly forming a certain number of starting points (potential solutions) in the solution search area).

2. Moving agents of the algorithm (based on a set of moving rules, they move agents in the solution area of the task in such a way as to get closer to the extremum of the objective function).

3. Completion of the procedure (if defined the conditions of stopping, otherwise the transition to the second step is made).

According to the above scheme, we form the task of parametric identification of interval model - the task of solving ISLAE (4).

#### A. Initialization phase

The vectors that determine the coordinates of sources with characteristic food content are denoted by  $[\bar{b}_i]$ . To initialize a rectangular area in the search area for food sources. Therefore, these are the vectors of interval model parameters in the context of solving the task (4). For each vector of coordinates the source of foods responds to the  $l$ -th bee that researches it. Denote the number of the population by  $S$ . All population vectors  $l = 1, \dots, S$  by scout bees are initialized. Each vector of parameters includes  $m$  variables  $[b_{li}], i = 1, \dots, m$  that need to be optimized to minimize the objective function.

To initialize randomly the initial sources of food, we use the following expression:

$$[b]_{li} = [b_i^{low}; b_i^{low} + \Delta b_i], i = 1, \dots, m$$

where  $\Delta b_i = 0, 1 \cdot (b_i^{up} - b_i^{low})$ .

Thus, after initialization, we get the such of rectangular sections in the search area. These rectangular sections must be constant.

It should be noted that in this phase we also configure all the parameters of the algorithm. There are  $mcn = 0$  - the current iteration number and  $MCN$  - the total number of iterations,  $LIMIT$  - the number that determines the exhaustiveness of the source and its current value  $limit = 0$ .  $limit=0$ .

### B. Employed bees phase

Employed bees are looking for new sources that contain more food. In the context of optimization task (8), this means finding new solutions with smaller values of the function (6) or (7). Employed bees search for surrounding food sources and evaluate their "quality". Such formulas to calculate the neighborhoods of food sources are used:

$$[b_{li}]^{mcn} = [b_{li}] + \Phi_{li} \cdot (b_{li}^- - b_{pi}^-), \quad (10)$$

if  $i=1, \dots, m; p \neq l=1, \dots, S$ , where  $[b_{li}]^{mcn}$  - the coordinate of the food source at the current iteration,  $[b_p^-]$  - randomly selected vector of food source from  $p \neq l=1, \dots, S$ ;  $l=1, \dots, m$  - randomly selected index of parameter;  $\Phi_{li}$  - the random value from the interval  $[-1; 1]$ .

After calculating the surrounding of coordinates for new sources of food  $[b_l]^{mcn}$ , a pair selection between existing and current ones using the objective function (6) or (7) is performed:

$$\begin{aligned} \hat{[b_l]} &= \left\{ \begin{aligned} &[\hat{b}_l], \text{ if } \Delta([\hat{b}_l]) \leq \Delta([\hat{b}_l]^{mcn}) \\ &[\hat{b}_l]^{mcn}, \text{ if } \Delta([\hat{b}_l]) > \Delta([\hat{b}_l]^{mcn}) \end{aligned} \right\} \text{ or} \\ \hat{[b_l]} &= \left\{ \begin{aligned} &[\hat{b}_l], \text{ if } \Delta([\hat{b}_l]) \leq \Delta([\hat{b}_l]^{mcn}) \\ &[\hat{b}_l]^{mcn}, \text{ if } \Delta([\hat{b}_l]) > \Delta([\hat{b}_l]^{mcn}) \end{aligned} \right\}. \end{aligned} \quad (11)$$

### Onlooker bees phase

In this phase, the employed bees arrive at the hive and share their information about the sources of food with the scout bees who are waiting for them in the hive. The latter are able to select food sources, depending on the information obtained. For this purpose, for each current source of food, we calculate the probability  $P_l$  of its choice by scout bees:

$$P_l = \frac{1 - \Delta([\hat{b}_l])}{\sum_{l=1}^S (1 - \Delta([\hat{b}_l]))}. \quad (12)$$

Note that expression (12) is applied after the previous normalizing the values of the objective function  $\Delta([\hat{b}_l])$  to the interval  $[0, 1]$ .

Based on calculated probabilities, the scout bees choose surrounding of certain sources of food  $[b_l]$  consisting of points  $z_l$  whose coordinates are calculated by expression

(12). In this case, the number of surrounding points for each source is calculated such as:

$$z_l = P_l \cdot S.$$

Next, for each generated food source, we calculate the objective function (6) or (7) and again make group selection between existing and current food sources based on the expression (11).

### D. Scout bees phase

This is the phase of bees that randomly select new sources of food. Employed bees that produce new solutions to the optimization task become bees scouts if those solutions do not improve over many iterations. In the context of the task's conditions, this means the depletion of current sources of food when the *limit* counter exceeds its limit value *LIMIT*.

It should be noted again that, unlike in the classical case where solutions of the optimization problem (8) based on artificial bee colony algorithm are sought as a point's value. In our case, the solution will be an area in the form of the vector  $[\vec{b}_l]$ , which in the initialization phase as the formula (9).

As we can see, the width of the interval is determined by  $\Delta[\vec{b}_{li}]$ . Thus, as a result of applying the above algorithm there may be a situation where the solution  $[\vec{b}_l]$  in the form of a rectangular area does not exist. In this case, after a given number of MCN iterations, we propose to initialize the solution area by the formula (9), having previously reduced in this way:

$$(\Delta b_i)_{d+1} = \frac{(\Delta b_i)_d}{2}. \quad (13)$$

## IV. IDENTIFICATION THE MATHEMATICAL MODEL OF GENERATED ELECTRIC POWER BY SMALL HYDROELECTRIC POWER STATION

In the paper [1] described example of build a model of generated electric power by SHEPS. The dependence between the quantity of generated electricity and external factors of influence (water level on hydropost, in SHEPS, and reactive power) is described by the expression:

$$y(\vec{x}) = b_1 \cdot x_1 + b_2 \cdot x_1 x_3 + b_3 \cdot \sin(x_3) + b_4 \cdot x_1 \cdot x_2^2, \quad (14)$$

where  $y(\vec{x})$  - generated electric power,  $x_1$  - reactive power,  $x_2$  - fall on SHEPS,  $x_3$  - water level on hydropost.

Experimental data for the task obtained at the SHEPS built on the river Strypa in Ternopil region (Table 1).

Within the limits of the errors in the experimental data we obtain the following ISLAE:

$$\{y_i^* \leq b_1 \cdot x_{i1} + b_2 \cdot x_{i1} x_{i3} + b_3 \cdot \sin(x_{i3}) + b_4 \cdot x_{i1} \cdot x_{i2}^2 \leq y_i^+, i=1, \dots, 30\} \quad (15)$$

TABLE I. SUMMARIZED DATA QUANTITY OF ELECTRIC POWER AND INFLUENCE FACTORS FOR ITS GENERATION BY POWER STATION

No	Reactive power	Fall on SHEPS	Water level on hydropost	Generated electric power
1	182,5	4,6	6,5	[1087,2; 1211,28]
2	182,7	4,7	5,5	[1069,08; 1191,092]
...	...	...	...	...
29	189,4	4,8	4,11	[1014,72; 1130,528]
30	189,5	4,75	5,01	[1105,32; 1231,468]

The results of computer simulation to identification the mathematical model of generated electric power on hydroelectric power station based on artificial bee colony algorithm are given below.

The interval model of electric power daily generated by SHEPS was built:

$$y(\bar{x}) = (5,5996; 5,6001) \cdot x_1 + (0,0937; 0,0941) \cdot x_1 x_3 + (-5,7855; -5,7851) \cdot \sin(x_3) + (-0,0077; -0,0073) \cdot x_1 x_2^2 \quad (17)$$

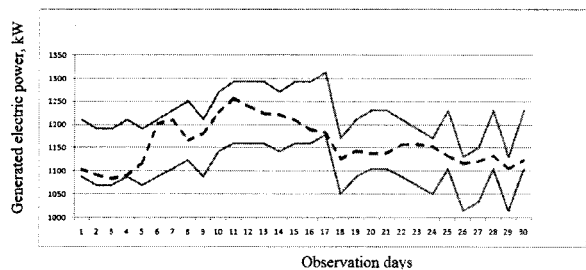


Fig. 1. Measured and predicted results of the electric power daily generated SHEPS "Topol'ky"

In Figure 1 also showed measured results. As we can see from this figure the resulting model predicts daily amount of generated electric power within the measured amount that is confirming its adequacy.

## V. CONCLUSIONS

The solution of task parametric identification of mathematical model of electric power generation by a small hydroelectric power station based on artificial bee colony algorithm are proposed in this article.

The result is a mathematical model that has a guaranteed predictive properties, that is, the predicted interval values the quantity of generated electric power belong to the intervals the measured values of this characteristic.

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